

Bios for those with Arctic Slope Native Association

Marie Carroll

The President/CEO of the Arctic Slope Native Association and Samuel Simmonds Memorial Hospital located in Barrow, Alaska. Marie is Inupiaq whose family is originally from Point Barrow. Marie graduated from George Washington University in Washington DC and returned home to work for the good of the Inupiaq people in both civil service- with the local city and borough governments- and in healthcare with the Arctic Slope Native Association. Marie has worked for the Arctic Slope Native Association since 1999 and has led the current efforts to build the new hospital for the North Slope Borough. The current hospital was opened in 1964 serving just a couple thousand people and only employing one physician. Through Marie's efforts and working with the Indian Health Services the new hospital is 81% complete, construction is fully funded, and the project is on time and on budget. The hospital will be open in FY13.

Luke Welles

The Vice President of Finance for the Arctic Slope Native Association located in Barrow, Alaska. Luke is a twenty year plus resident of Alaska and currently lives in Barrow. Luke graduated from Baylor University and has spent over ten years involved in tribal health in Alaska.

Angela Cox

The Vice President of Administration for the Arctic Slope Native Association located in Barrow, Alaska. Angela is Inupiaq whose family is originally from Wainwright (120 miles west of Barrow). Angela graduated from New York University and returned to the North Slope to work for the Arctic Slope Native Association and improve the delivery of healthcare to everyone on the North Slope.

[Insert Subcommittee Name Here]

Witness Disclosure Form

Clause 2(g) of rule XI of the Rules of the House of Representatives requires non-governmental witnesses to disclose to the Committee the following information. A non-governmental witness is any witness appearing on behalf of himself/herself or on behalf of an organization other than a federal agency, or a state, local or tribal government.

Your Name, Business Address, and Telephone Number:

Arctic Slope Native Assoc
PO Box 1232
Barrow, AK 99723
907-852-9352

Marie Carroll
Luke Welles
Angela Cox

1. Are you appearing on behalf of yourself or a non-governmental organization? Please list organization(s) you are representing.

Arctic Slope Native Association Ltd

2. Have you or any organization you are representing received any Federal grants or contracts (including any subgrants or subcontracts) since October 1, 2008?

Yes No

3. If your response to question #2 is "Yes", please list the amount and source (by agency and program) of each grant or contract, and indicate whether the recipient of such grant or contract was you or the organization(s) you are representing.

See attached Federal Single Audit for FY11

Signature: 

Date: 3/17/12

Final Editorial Review Not Completed

PLEASE COMPLETE	
Date/Time Due	_____
Services Requested:	
_____ <i>Format</i>	_____ <i>Revisions</i>
_____ <i>Editorial Review</i>	_____ <i>Print</i>
Name:	_____ <i>Janson, Michael</i>
Tel./Ext.:	_____ <i>(907) 265 1228</i>
Special Instructions:	

ARCTIC SLOPE NATIVE ASSOCIATION, LIMITED

Federal Financial Assistance Reports

Year ended September 30, 2011

ARCTIC SLOPE NATIVE ASSOCIATION, LIMITED
Federal Financial Assistance Reports

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Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*

The Board of Directors
Arctic Slope Native Association, Limited:

We have audited the financial statements of Arctic Slope Native Association, Limited as of and for the year ended September 30, 2011, and have issued our report thereon dated _____, _____. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

In planning and performing our audit, we considered Arctic Slope Native Association, Limited's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Arctic Slope Native Association, Limited's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of Arctic Slope Native Association, Limited's internal control over financial reporting.

A deficiency in internal control over financial reporting exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Arctic Slope Native Association, Limited's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not

express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the board of directors, management and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Date

Independent Auditors' Report on Compliance with Requirements That Could Have a Direct and Material Effect on Each Major Program, Internal Control over Compliance and Schedule of Expenditures of Federal Awards in Accordance with OMB Circular A-133

The Board of Directors
Arctic Slope Native Association, Limited:

Compliance

We have audited Arctic Slope Native Association, Limited's compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of Arctic Slope Native Association Limited's major federal programs for the year ended September 30, 2011. Arctic Slope Native Association, Limited's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of Arctic Slope Native Association, Limited's management. Our responsibility is to express an opinion on Arctic Slope Native Association Limited's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Arctic Slope Native Association, Limited's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of Arctic Slope Native Association, Limited's compliance with those requirements.

In our opinion, Arctic Slope Native Association, Limited complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended September 30, 2011.

Internal Control Over Compliance

Management of Arctic Slope Native Association, Limited is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered Arctic Slope Native Association, Limited's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the

effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Arctic Slope Native Association Limited's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of Arctic Slope Native Association, Limited as of and for the year ended September 30, 2011, and have issued our report thereon dated _____, 2012. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

* * * * *

This report is intended solely for the information and use of the board of directors, management of Arctic Slope Native Association, Limited, others within the entity, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Date

ARCTIC SLOPE NATIVE ASSOCIATION, LIMITED

Schedule of Expenditures of Federal Awards

Year ended September 30, 2011

Federal grantor/program title	Catalog of federal domestic assistance number	Award amount	Federal expenditures
U.S. Department of Interior:			
Bureau of Indian Affairs:			
09 contract CTE03X84725:			
Adult Vocational Training	15.108	\$ 4,620	330
10 contract CTE03X84725:			
Social Services	15.025	60,231	33,039
Adult Vocational Training	15.108	19,292	2,855
Indian Child Welfare Act	15.144	112,000	63,672
11 contract CTE03X84725:			
Social Services	15.025	54,231	16,558
Scholarships	15.114	19,179	12,740
Indian Child Welfare Act	15.144	123,027	21,609
Total CFDA 15.144		235,027	85,281
Total CFDA 15.025		114,462	49,597
Total CFDA 15.108		23,912	3,185
Total U.S. Department of Interior		392,580	150,803
U.S. Department of Health and Human Services:			
Administration for Children and Families:			
Child Care and Development FY 10	93.575	255,708	97,365
Total CFDA 93.575		255,708	97,365
Behavioral Health Aides FY 07	93.556	149,530	3,211
Behavioral Health Aides FY 08	93.556	161,751	140,738
Promoting Safe and Stable Families, Title IV-B 10	93.556	47,360	17,056
Total CFDA 93.556		358,641	161,005
Child Welfare Social Services Program, Title IV-B 10	93.645	24,212	11,744
Indian Health Service:			
Tribal Self-Governance Demonstration Program, 10	93.210	12,070,237	12,070,237
Meth and Suicide Prevention - FY 09	93.210	56,663	34,457
Meth and Suicide Prevention - FY 10	93.210	57,350	29,612
Total CFDA 93.210		12,184,250	12,134,306
Special Diabetes Program for Indians 10	93.237	303,319	79,064
Special Diabetes Program for Indians 11	93.237	303,319	194,666
Total CFDA 93.237		606,638	273,730
New Hospital Construction FY 09	93.441	15,234,000	10,783,123
New Hospital Construction FY 10	93.441	31,740,026	21,929,354
Total CFDA 93.441		46,974,026	32,712,477
Administration on Aging:			
Older Americans Act FY 11 Part NISP	93.053	6,850	6,850
Older Americans Act FY 12 Part NISP	93.053	7,705	7,705
Total CFDA 93.053		14,555	14,555
Older Americans Act FY 11 Part C	93.054	21,620	21,620
Older Americans Act FY 12 Part C	93.054	13,410	1,869
Total CFDA 93.054		35,030	23,489
Older Americans Act FY 11 Part A	93.047	86,410	86,410
Older Americans Act FY 12 Part A	93.047	73,490	24,949
Total CFDA 93.047		159,900	111,359
Centers for Disease Control and Prevention:			
National Center for Chronic Disease Prevention and Health Promotion:			
Early Detection Program FY 11	93.283	578,886	356,747
Early Detection Program FY 12	93.283	561,519	145,963
Colorectal Screening FY 10	93.283	358,283	83,433
Colorectal Screening FY 11	93.283	388,746	352,143
Total CFDA 93.283		1,887,434	938,286
Total U.S. Department of Health and Human Services		62,500,394	46,478,316
Total Federal Awards		\$ 62,892,974	46,629,119

See accompanying notes to schedule of expenditures of federal awards.

* Denotes American Recovery and Reinvestment Act funding

ARCTIC SLOPE NATIVE ASSOCIATION, LIMITED

Notes to Schedule of Expenditures of Federal Awards

Year ended September 30, 2011

(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of Arctic Slope Native Association, Limited (Association). The Association's reporting entity is defined in note 1 to the Association's financial statements. Federal financial assistance received directly from federal agencies as well as federal financial assistance passed through other government agencies is included on the schedule.

(2) Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards is presented using the accrual basis of accounting, which is described in note 1 to the Association's financial statements.

(3) Subrecipients

The following amount was provided to subrecipients during the year ended September 30, 2011:

U.S. Department of Health and Human Services, Administration on Aging, Older Americans Act	\$ 149,403
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ARCTIC SLOPE NATIVE ASSOCIATION, LIMITED

Schedule of Findings and Questioned Costs

Year ended September 30, 2011

(1) Summary of Auditor's Results

Financial Statements:

Type of auditors' report issued	<u>Unqualified</u>	
Internal control over financial reporting:		
Material weakness (es) identified?	___ Yes	<u>X</u> None reported
Significant deficiency (ies) identified that are not considered to be material weaknesses?	___ Yes	<u>X</u> No
Noncompliance material to financial statements noted?	___ Yes	<u>X</u> No

Federal Awards:

Internal control over major programs:		
Material weakness (es) identified?	___ Yes	<u>X</u> No
Significant deficiency (ies) identified that are not considered to be material weaknesses?	___ Yes	<u>X</u> None reported
Type of auditor's report issued on compliance for major programs	<u>Unqualified</u>	
Any audit findings disclosed that are required to be reported in accordance with section 510(a) of OMB Circular A-133?	___ Yes	<u>X</u> No

Identification of Major Programs:

CFDA number(s)	Name of federal program or cluster
93.441	U.S. Department of Health and Human Services, Indian Self-Determination- Barrow Hospital Replacement Construction Project

Dollar threshold used to distinguish between type A and type B programs:

\$ 1,399,315

Auditee qualified as low-risk auditee? ___X___ Yes ___ No

ARCTIC SLOPE NATIVE ASSOCIATION, LIMITED

Notes to Schedule of Expenditures of Federal Awards

Year ended September 30, 2011

(2) Financial Statement Findings Section

No other matters are reportable

(3) Federal Award Findings and Questioned Cost Section

No other matters are reportable